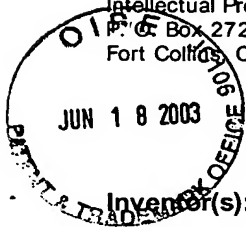


HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10016861-1

2132
#2
SP
6-24-03



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Richard Paul Tarquini, et al.

Confirmation No.:

Application No.: 10/001,445

Examiner: TBD

Filing Date: 10/31/2001

Group Art Unit: TBD

Title: Node, Method and Computer Readable Medium for Inserting an Intrusion Prevention System into a Network Stack

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JUN 19 2003

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
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☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
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Typed Name: Jacqueline E. Butler

Signature: Jacqueline Butler

Respectfully submitted,

Richard Paul Tarquini, et al.

By

Steven T. McDonald

Attorney/Agent for Applicant(s)
Reg. No. 45,999

Date: 06/13/2003

Telephone No.: (214) 880-7667

PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

UNITED STATES DEPARTMENT OF COMMERCE
BUREAU OF PATENTS AND TRADE
OFFICE OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10016861-1

APPLICATION NO.

10/001,445

CONFIRMATION NO.

APPLICANT

Richard Paul Tarquini, et al.

FILING DATE

10/31/2001

GROUP

TBD

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|------|--|
| | 1A | | | | |
| | 1B | | | | |
| | 1C | | | | |
| | 1D | | | | |
| | 1E | | | | |
| | 1F | | | | |
| | 1G | | | | |
| | 1H | | | | |
| | 1I | | | | |
| | 1J | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
| | 1L | GB2317539A | 03/25/1998 | Secure Computing | | |
| | 1M | | | | | |
| | 1N | | | | | |
| | 1O | | | | | |
| | 1P | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | 1Q | |
| | 1R | |
| | 1S | |

EXAMINER

DATE CONSIDERED



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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Richard Paul Tarquini, et al.

By


Steven T. McDonald

Attorney/Agent for Applicant(s)

Reg. No. 45,999

Date: 06/13/2003

Telephone No.: (214) 880-7667